

# On Comparison of SimTandem with State-of-the-Art Peptide Identification Tools, Efficiency of Precursor Mass Filter and Dealing with Variable Modifications

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## Summary

The similarity search in theoretical mass spectra generated from protein sequence databases is a widely accepted approach for identification of peptides from query mass spectra produced by shotgun proteomics. Growing protein sequence databases and noisy query spectra demand database indexing techniques and better similarity measures for the comparison of theoretical spectra against query spectra. We employ a modification of previously proposed parameterized Hausdorff distance for comparisons of mass spectra. The new distance outperforms the original distance, the angle distance and state-of-the-art peptide identification tools OMSSA and X!Tandem in the number of identified peptides even though the q-value is only 0.001. When a precursor mass filter is used as a database indexing technique, our method outperforms OMSSA in the speed of search. When variable modifications are not searched, the search time is similar to X!Tandem. We show that the precursor mass filter is an efficient database indexing technique for high-accuracy data even though many variable modifications are being searched. We demonstrate that the number of identified peptides is bigger when variable modifications are searched separately by more search runs of a peptide identification engine. Otherwise, the false discovery rates are affected by mixing unmodified and modified spectra together resulting in a lower number of identified peptides. Our method is implemented in the freely available application SimTandem which can be used in the framework TOPP based on OpenMS.

## 1 Introduction

High performance liquid chromatography combined with tandem mass spectrometry (HPLC-MS/MS or shotgun proteomics) is a widely used technique for identification and quantification of proteins and peptides in complex mixtures. Mixtures obtained by a cell lysis contain thousands of proteins and a mass spectrometer produces tens of thousands of peptide mass spectra (or query spectra) which must be annotated with peptide sequences [1].

Before a mass analysis, proteins in a sample are usually enzymatically digested to peptides. After chromatographic separation, peptides are commonly subjected to an electro spray ionization

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leading to positively charged ions. After transfer into the mass spectrometer, the most intense peptide ions are collected based on their mass-to-charge ( $\frac{m}{z}$ ) ratios and fragmented in a collision chamber. A list of  $\frac{m}{z}$  ratios of fragment ions with intensities quantifying the abundance of the measured ion (i.e., a list of peaks) forms a tandem mass spectrum. The most common types of fragment ions occurring from collision induced dissociation techniques are y-ions and b-ions. Therefore, these ion types serve as main features for the annotation of spectra with peptide sequences.

The annotation of spectra with peptide sequences is often realized by means of the similarity search in databases of theoretical spectra generated from databases of known protein sequences, by the de-novo peptide sequencing, sequence-tag methods and comparison against a library of experimental spectra [2]. When the similarity search in the database of theoretical spectra is employed, protein sequences are algorithmically digested into shorter peptide sequences and theoretical peptide spectra are generated. Spectra captured by a mass spectrometer (i.e., the query set) are compared with the theoretical spectra using a pair-wise similarity function. For each query spectrum, the most similar theoretical spectrum is selected. A peptide sequence corresponding to the most similar spectrum and the query spectrum form a peptide-spectrum match (PSM). Each PSM is accompanied by the score determined by the similarity function. A natural and common similarity function for mass spectra is the cosine similarity [3]. We proposed the parameterized Hausdorff distance which is able to identify more peptides than the cosine similarity [4]. Tools based on the similarity search in databases of theoretical spectra like SEQUEST [5], MASCOT [6], OMSSA [7], X!Tandem [8] or MyriMatch [9] implement their own similarity functions.

In practice, many peptides carry additional chemical modifications which change masses of amino acids, shift  $\frac{m}{z}$  ratios of fragment ions and complicate the identification of peptide sequences [10]. Modifications can be artificially added to a sample because they enable more precise analysis. They can arise during a sample preparation or during mass analysis. Post-translational modifications arise during the lifetime of a protein molecule and they give new properties to proteins, make stable conformations of proteins, regulate protein functions, etc. Protein modifications for mass spectrometry are gathered in the database UNIMOD [11] which currently contains 975 entries of known modifications.

Modifications are commonly split into two groups – *fixed* or *variable*. Fixed modifications change all amino acids of the same type in a peptide, e.g., *carbamidomethylation of cysteine*. When a fixed modification is searched, a mass of an amino acid is changed when theoretical spectra are being generated, e.g., the mass of cysteine is increased by approx. 57.02 Da. However, variable modifications do not have to change all amino acids of the same type. While processing of fixed modifications is almost for free in terms of computational complexity, processing of variable modifications is time-consuming because theoretical spectra must be generated for each combination of searched variable modifications.

Since databases of protein sequences grow rapidly in recent years, a comparison of all spectra in the query set against all theoretical spectra is time-consuming. Various database indexing techniques have been proposed to speed up the similarity search in databases of theoretical spectra. There are approaches based on the properties of metric [12] [13] and non-metric [14] [15] spaces, inverted files [16] [17], suffix trees [18], longest common prefixes and suffix arrays [19], machine learning approaches [20], support vector machines [21], neural networks [22], etc. Other approaches optimize peptide identification tools by parallelization [23],

GPU processing [24], hardware acceleration [25] or by a combination of algorithmic and software engineering techniques [26] [27].

Since the search space of putative peptides can be greatly reduced by incorporating the precursor mass (i.e., the mass of a peptide ion before fragmentation), we utilize a simple database indexing technique known as the *precursor mass filter*. When the precursor mass filter is utilized, a query spectrum is not compared against all theoretical spectra generated from a database of protein sequences but only with a small subset of spectra in a precursor mass error tolerance  $\lambda$ . Because high-accuracy machines become easily available, the precursor mass filter is experiencing a renaissance as a database indexing technique for high-accuracy data [28] [29].

Even though different tools use different similarity functions, their performance can be compared by statistical evaluation of results [30]. A widely accepted technique is to apply a target-decoy approach. Protein sequences in a database are reversed and appended to the original database. Original sequences are marked as target sequences while reversed sequences are marked as decoy sequences. The false discovery rate can be then estimated as  $FDR = \frac{\#decoy\ PSMs}{\#target\ PSMs}$ . Since FDR is a property of a set of PSMs, the q-value is defined as minimum FDR threshold at which a given PSM is accepted as correct [31] [30].

## 2 Methods

We propose an approach for identification of peptides based on the similarity search of query spectra in a database of theoretical spectra. We describe the mass spectra distance functions, the method how we speed-up the database search using the precursor mass filter and the method how we deal with variable modifications in mass spectra. The approach is implemented in the freely available peptide identification engine SimTandem [32] which can be easily used for a batch analysis in TOPP (The OpenMS Proteomics Pipeline) [33] [34]. OpenMS is an open-source C++ library for LC-MS/MS data management and analyses. It enables a statistical evaluation of results from different peptide identification engines, thus the engines can be easily compared.

### 2.1 Distance Functions

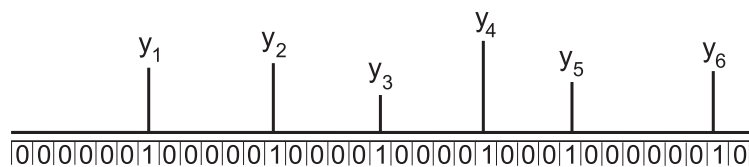
When the similarity search in a database of theoretical spectra is employed for identification of peptides, a pair-wise similarity (or distance<sup>1</sup>) function is a crucial component of each search engine. The angle distance, the parameterized Hausdorff distance and a modification of the parameterized Hausdorff distance are defined below.

#### 2.1.1 Angle Distance

The angle distance  $d_A$  (normalized dot product, cosine similarity) is a commonly utilized function for mass spectra comparison (Eq. 3) [3]. A representation of mass spectra as high-dimensional boolean vectors is usually used for this purpose. The range of  $\frac{m}{z}$  values in a

<sup>1</sup>Smaller distance means bigger similarity and vice versa.

spectrum is split into subintervals. A width of a subinterval is determined by  $\frac{m}{z}$  error tolerance  $\xi$  (e.g.,  $\xi = 0.5$  Da). When a peak falls into a subinterval, a boolean vector contains 1 at the position corresponding to the subinterval, otherwise it contains 0 (Fig. 1).



**Figure 1: High-dimensional boolean representation of a theoretical spectrum containing y-ions.**

Instead of storing high-dimensional sparse vectors, we use directly the vectors of  $\frac{m}{z}$  values  $\vec{x}$  and  $\vec{y}$  (say, a low-dimensional representation of vectors). Considering the low-dimensional representation, two  $\frac{m}{z}$  values between compared spectra are matched when  $d_a(\vec{x}_i, \vec{y}_j) \leq \xi$ . When the  $\frac{m}{z}$  values are matched, the 1 is added to a sum. The max is used to prevent duplicate matches of the same  $\frac{m}{z}$  value in one spectrum with more  $\frac{m}{z}$  values in the other spectrum, i.e., every match of an  $\frac{m}{z}$  value is counted only once.  $dim(\vec{x})$  is the dimension of  $\vec{x}$ . Note that subintervals are not bounded as shown in Fig. 1 because the differences between  $\frac{m}{z}$  values are computed.

$$d_a(\vec{x}_i, \vec{y}_j) = \begin{cases} 0, & \text{if } |\vec{x}_i - \vec{y}_j| > \xi \\ 1, & \text{else} \end{cases} \quad (1)$$

$$a(\vec{x}, \vec{y}) = \sum_{x_i \in \vec{x}} \max_{y_j \in \vec{y}} \{d_a(\vec{x}_i, \vec{y}_j)\} \quad (2)$$

$$d_A(\vec{x}, \vec{y}) = \arccos \left( \frac{a(\vec{x}, \vec{y})}{\sqrt{dim(\vec{x})dim(\vec{y})}} \right) \quad (3)$$

### 2.1.2 Parameterized Hausdorff Distance

The parameterized Hausdorff distance  $d_{HP}$  (Eq. 6) has been originally developed as a mass spectra distance function suitable for utilization by non-metric access methods [14] [15] [4]. For each  $\frac{m}{z}$  value  $\vec{x}_i$ , the  $\frac{m}{z}$  value  $\vec{y}_j$  in the minimum distance  $d_h(\vec{x}_i, \vec{y}_j)$  is found (Eq. 5). Then the  $n^{th}$  root is applied on each of the minimum distances and a sum of roots is computed. When the vector  $\vec{x}$  contains many irrelevant  $\frac{m}{z}$  values having small differences to  $\frac{m}{z}$  values in  $\vec{y}$ , the sum of roots generates a big distance (i.e., the similarity between  $\vec{x}$  and  $\vec{y}$  is poor). On the other hand, when  $\vec{x}$  contains a small number of irrelevant  $\frac{m}{z}$  values having big differences to  $\frac{m}{z}$  values in  $\vec{y}$ , the sum of roots generates a small distance (i.e., the similarity between  $\vec{x}$  and  $\vec{y}$  is good). For  $n \rightarrow \infty$ , the  $n^{th}$  root converges to 1. Since numbers of  $\frac{m}{z}$  values in vectors  $\vec{x}$  and  $\vec{y}$  may be different, the sum is divided by  $dim(\vec{x})$ . The whole process is repeated with vectors  $\vec{x}$  and  $\vec{y}$  switched and the maximum value is selected to obtain a symmetric measure. Since vectors of  $\frac{m}{z}$  values are implicitly sorted,  $d_{HP}$  can be computed with linear time complexity [4].

Lets assume the following example. Let  $\vec{x} = \{200, 300, 400, 500\}$  be a vector of  $\frac{m}{z}$  values corresponding to a query spectrum. Let  $\vec{y}_1 = \{200, 300, 460, 500\}$  and  $\vec{y}_2 = \{210, 305, 420, 475\}$  be vectors of  $\frac{m}{z}$  values corresponding to theoretical mass spectra. We can observe that  $\vec{x}$  is

closer to  $\vec{y}_1$  in terms of mass spectra similarity. The  $\frac{m}{z}$  value equals to 400 in  $\vec{x}$  is likely a noise peak and the  $\frac{m}{z}$  value equals to 460 is missing in  $\vec{x}$ . On the other hand, the spectrum  $\vec{x}$  seems to be completely different from  $\vec{y}_2$ .

Now assume that the Euclidean distance  $L_2(\vec{x}, \vec{y}) = \sqrt{\sum_{i=1}^n (\vec{x}_i - \vec{y}_i)^2}$  is used for comparison of mass spectra, then  $L_2(\vec{x}, \vec{y}_1) = 60$  and  $L_2(\vec{x}, \vec{y}_2) \doteq 33.9$ . We can observe that  $L_2$  is not suitable distance for mass spectra because  $60 > 33.9$  and thus  $\vec{y}_2$  is closer to  $\vec{x}$  than  $\vec{y}_1$ . In case of  $d_{HP}$  (e.g., with  $n = 2$  and  $\xi = 0$ ), we get  $d_{HP}(\vec{x}, \vec{y}_1) \doteq 1.9$  and  $d_{HP}(\vec{x}, \vec{y}_2) \doteq 3.7$ . Since  $1.9 < 3.7$ , the  $\vec{y}_1$  is closer to  $\vec{x}$  than  $\vec{y}_2$  what is the desired result. For  $d_A$  ( $\xi = 0$ ), we get  $d_A(\vec{x}, \vec{y}_1) \doteq 0.7$  and  $d_A(\vec{x}, \vec{y}_2) = \frac{\pi}{2}$ . In principle,  $d_A$  and  $d_{HP}$  are similar, however,  $d_{HP}$  generates a better distribution of distances than  $d_A$ . Moreover, it has been shown that  $d_{HP}$  outperforms  $d_A$  in the number of identified peptides [15].

$$d_h(\vec{x}_i, \vec{y}_j) = \begin{cases} |\vec{x}_i - \vec{y}_j|, & \text{if } |\vec{x}_i - \vec{y}_j| > \xi \\ 0, & \text{else} \end{cases} \quad (4)$$

$$h(\vec{x}, \vec{y}) = \frac{\sum_{\vec{x}_i \in \vec{x}} \sqrt[n]{\min_{\vec{y}_j \in \vec{y}} \{d_h(\vec{x}_i, \vec{y}_j)\}}}{\dim(\vec{x})} \quad (5)$$

$$d_{HP}(\vec{x}, \vec{y}) = \max(h(\vec{x}, \vec{y}), h(\vec{y}, \vec{x})) \quad (6)$$

### 2.1.3 Modification of Parameterized Hausdorff Distance

We propose a modification of  $d_{HP}$  called  $d_{HP}^{match}$  (Eq. 8) to increase the number of identified peptides (Sec. 3.2). In contrast to  $d_{HP}$ , the sum of  $\frac{m}{z}$  values in  $d_{HP}^{match}$  is divided by the number of matches of  $\frac{m}{z}$  values in a theoretical spectrum with  $\frac{m}{z}$  values in a query spectrum, i.e.,  $a(\vec{x}, \vec{y})$  (Eq. 2). The 1 is added to  $a(\vec{x}, \vec{y})$  to prevent from the division by zero when  $a(\vec{x}, \vec{y}) = 0$ .

$$h^{match}(\vec{x}, \vec{y}) = \frac{\sum_{\vec{x}_i \in \vec{x}} \sqrt[n]{\min_{\vec{y}_j \in \vec{y}} \{d_h(\vec{x}_i, \vec{y}_j)\}}}{\dim(\vec{x})(a(\vec{x}, \vec{y}) + 1)} \quad (7)$$

$$d_{HP}^{match}(\vec{x}, \vec{y}) = \max(h^{match}(\vec{x}, \vec{y}), h^{match}(\vec{y}, \vec{x})) \quad (8)$$

## 2.2 Precursor Mass Filter

Peptide precursor masses are known for both – theoretical and query spectra. Thus a query spectrum does not have to be compared with all theoretical spectra  $D$  generated from a database of protein sequences but only with a small subset  $D_\lambda \subset D$  within a precursor mass error tolerance  $\lambda$ . For efficient determination of  $D_\lambda$ ,  $D$  is sorted by precursor masses and  $D_\lambda$  is found by a binary search of the precursor mass of a query spectrum. Afterwards, theoretical spectra in  $D_\lambda$  are compared with the query spectrum using a distance function and the theoretical spectrum having the smallest distance to the query spectrum is selected to form a PSM.

## 2.3 Dealing with Modifications

Below, we briefly describe how we deal with variable modifications. Let  $m$  be the number of searched variable modifications and let  $\eta$  be the maximum number of modifications which may occur simultaneously in a peptide. A set  $T$  of all possible combinations of variable modifications is generated where each combination  $t \in T$  contains up to  $\eta$  modifications selected from  $m$  input modifications. Because each modification can occur more than once in a peptide, the number of combinations of modifications is the sum of  $k$ -combinations with repetitions  $\tau = 1 + \sum_{k=1}^{\eta} \binom{m+k-1}{k}$ . The one is added to represent an unmodified peptide.

Lets assume an example where  $m = 3$  and  $\eta = 2$ . We have three modifications  $\alpha$ ,  $\beta$  and  $\gamma$  corresponding to, e.g., *oxidation of methionine*, *dioxidation of tryptophan* and *deamidation of asparagine*. Then  $\tau = 10$  combinations of modifications are generated in  $T = \{\emptyset, \{\alpha\}, \{\beta\}, \{\gamma\}, \{\alpha, \alpha\}, \{\alpha, \beta\}, \{\alpha, \gamma\}, \{\beta, \beta\}, \{\beta, \gamma\}, \{\gamma, \gamma\}\}$ . For each combination of modifications  $t \in T$ , the precursor mass of a query spectrum  $q$  is shifted and corresponding theoretical spectra  $D_{\lambda}^t$  in the precursor mass error tolerance  $\lambda$  are selected from  $D$ .

Before a theoretical spectrum from  $D_{\lambda}^t$  is compared with  $q$ , we check whether a peptide corresponding to the theoretical spectrum can contain the desired modifications. In our example, when  $t = \{\alpha, \beta\}$ , the peptide must contain at least one methionine and one tryptophan. When the peptide contains the desired amino acids, the theoretical spectrum is generated while masses of amino acids impacted by the modifications are shifted (i.e., the mass of methionine is shifted by  $\alpha$  and the mass of tryptophan by  $\beta$ ). Otherwise, the theoretical spectrum is not compared with  $q$ . When the peptide contains more than one methionine or tryptophan, all possible theoretical spectra are generated and compared with  $q$ . Finally, the theoretical spectrum having the smallest distance to  $q$  is selected from all spectra compared with  $q$  to form a PSM.

## 3 Results

We used HPLC-MS/MS spectra from *E. coli* and human. Separation of the *E. coli* digest was performed using an easyLC HPLC system (Proxeon) with a 2h segmented gradient. Peptides eluting from the column were online injected into an LTQ-Orbitrap XL instrument (Thermo Fisher Scientific), with top 10 selection of the most abundant ions for further fragmentation. A dynamic exclusion list of 500 masses and exclusion time of 90 seconds was used to avoid repeated fragmentation of the same ions. The query set *E.coli* contained 30,358 tandem mass spectra. Human spectra were taken from 2 runs from a label-free human data set [35] – the query set *Hum48* contained 26,417 spectra and *Hum49* contained 24,537 spectra. The data sets are available on-line at [32].

The manually curated database containing 8,272 protein (332,862 peptide) sequences was used with *E.coli*. The database of 177,640 human protein (9,308,438 peptide) sequences from UniProtKB/Swiss-Prot (v. 06/2013) [36] was used with human query sets. Reversed decoy protein sequences were included in both databases. Theoretical spectra were generated with following settings – enzyme: trypsin ([KR]/P); max. missed cleavage sites: 1; length of peptide sequences: 7-50 amino acids; precursor mass of peptides: 500-5,000 Da; fragment ions types: y, b,  $y^{2+}$ ;  $\frac{m}{z}$  ratios of fragment ions: 200-2,000 Da; fixed modifications: carbamidomethylation of cysteine. Query spectra were processed as follows – minimum number of peaks in a spec-

**Table 1: Numbers of peptides identified by different engines and search times [min:sec].** When  $m = 0$ , variable modifications were not searched. When  $m = 5$ , five variable modifications were searched. A cell having the biggest number of identified peptides among all engines is highlighted.

| Query set     | $m$ | OMSSA   |        |              |       | X!Tandem |        |       |      |
|---------------|-----|---------|--------|--------------|-------|----------|--------|-------|------|
|               |     | q-value |        |              | Time  | q-value  |        |       | Time |
|               |     | 0.05    | 0.01   | 0.001        |       | 0.05     | 0.01   | 0.001 |      |
| <i>E.coli</i> | 0   | 12,620  | 11,071 | 8,649        | 3:01  | 12,635   | 10,835 | 8,589 | 1:36 |
|               | 5   | 12,841  | 11,248 | 9,009        | 3:56  | 12,807   | 10,942 | 8,510 | 1:43 |
| <i>Hum48</i>  | 0   | 8,262   | 7,480  | <b>6,646</b> | 28:27 | 8,561    | 7,349  | 5,660 | 4:48 |
|               | 5   | 10,806  | 9,598  | <b>7,960</b> | 30:29 | 11,595   | 9,701  | 7,583 | 5:58 |
| <i>Hum49</i>  | 0   | 9,833   | 8,854  | 7,146        | 29:17 | 10,094   | 8,574  | 6,887 | 3:55 |
|               | 5   | 11,742  | 10,477 | <b>8,773</b> | 31:38 | 12,582   | 10,664 | 8,687 | 5:40 |

| Query set     | $m$ | $d_A$   |        |       |       | $d_{HP}$ |        |       |       |
|---------------|-----|---------|--------|-------|-------|----------|--------|-------|-------|
|               |     | q-value |        |       | Time  | q-value  |        |       | Time  |
|               |     | 0.05    | 0.01   | 0.001 |       | 0.05     | 0.01   | 0.001 |       |
| <i>E.coli</i> | 0   | 14,024  | 11,204 | 5,340 | 0:37  | 14,173   | 12,228 | 9,551 | 0:41  |
|               | 5   | 14,146  | 11,323 | 2,015 | 1:08  | 14,190   | 12,004 | 8,032 | 1:18  |
| <i>Hum48</i>  | 0   | 7,590   | 4,375  | 879   | 2:58  | 8,666    | 7,172  | 5,333 | 3:40  |
|               | 5   | 10,309  | 6,068  | 1,159 | 11:30 | 11,729   | 9,547  | 7,037 | 13:54 |
| <i>Hum49</i>  | 0   | 9,774   | 6,137  | 1,649 | 3:41  | 10,371   | 8,711  | 7,003 | 3:49  |
|               | 5   | 11,854  | 7,349  | 1,247 | 12:19 | 12,460   | 10,313 | 7,291 | 14:21 |

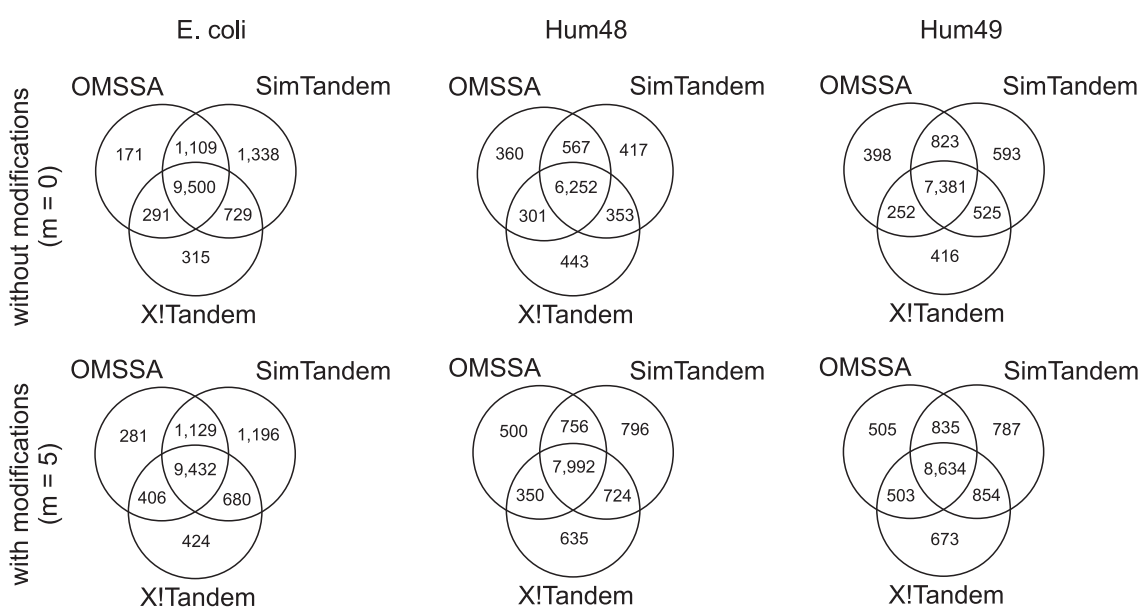
| Query set     | $m$ | $d_{HP}^{match}$ |               |               |       |
|---------------|-----|------------------|---------------|---------------|-------|
|               |     | q-value          |               |               | Time  |
|               |     | 0.05             | 0.01          | 0.001         |       |
| <i>E.coli</i> | 0   | <b>14,522</b>    | <b>12,676</b> | <b>10,756</b> | 0:42  |
|               | 5   | <b>14,290</b>    | <b>12,437</b> | <b>9,594</b>  | 1:18  |
| <i>Hum48</i>  | 0   | <b>9,044</b>     | <b>7,589</b>  | 6,084         | 3:34  |
|               | 5   | <b>12,261</b>    | <b>10,268</b> | 7,855         | 13:49 |
| <i>Hum49</i>  | 0   | <b>10,770</b>    | <b>9,322</b>  | <b>7,168</b>  | 3:39  |
|               | 5   | <b>13,132</b>    | <b>11,110</b> | 8,106         | 14:26 |

trum to be processed: 30; peak selection heuristic: the range of  $\frac{m}{z}$  values was split by 50 Da, 5 most intense peaks were selected in each window and 50 most intense peaks were selected from the unification of the most intense peaks in the windows.  $\lambda = 10$  ppm,  $\xi = 0.5$  Da and  $n = 30$  (in  $d_{HP}$  and  $d_{HP}^{match}$ ). We used SimTandem v. 1.1.65 and a machine with Windows 7 x64, Intel Core i7 2GHz, 8 GB RAM and 5400 rpm HDD.

### 3.1 State-of-the-Art Tools

Numbers of identified peptides for different q-values and search times were measured for freely available tools OMSSA (v. 2.1.9 Win 32) and X!Tandem (v. 2013.02.01.1). The refinement mode in X!Tandem was not used. Since X!Tandem returned some PSMs having variable modifications which were not searched, these identifications were excluded from the results. The comparison was made using OpenMS/TOPP (v. 1.10). Simple pipelines in TOPPAS were created for this purpose, e.g., *OMSSAAdapter*  $\rightarrow$  *PeptideIndexer*  $\rightarrow$  *FalseDiscoveryRate*  $\rightarrow$  *IDFilter*, where *OMSSAAdapter* calls the OMSSA search engine, *PeptideIndexer* annotates for each search result whether it is a target or a decoy hit, *FalseDiscoveryRate* tool computes q-values and *IDFilter* selects only those PSMs with q-values less or equal a specified tolerance. The pipelines were processed without and with the support of variable modifications. When the support of variable modifications was enabled, the following five modifications were searched ( $m = 5$ ) – oxidation of methionine, deamidation of asparagine, acetylation of any N-term, pyro-glu from glutamine and pyro-glu from glutamic acid.

Results are shown in Tab. 1. OMSSA identified more peptides than X!Tandem in all query sets



**Figure 2: Numbers of peptides identified by SimTandem, OMSSA and X!Tandem (q-value = 0.01).**

when q-value = 0.001. For q-value = 0.01, OMSSA identified more peptides than X!Tandem in four cases. However, X!Tandem identified more peptides in human query sets when variable modifications were searched. When q-value = 0.05, X!Tandem identified more peptides than OMSSA in all query sets except the *E. coli* query set when modifications were searched. X!Tandem was  $1.9\times$  faster than OMSSA on the *E. coli* query set when modifications were not searched and  $2.3\times$  faster when modifications were searched. On human query sets, X!Tandem was  $5.9\times$ – $7.5\times$  faster than OMSSA when modifications were not searched and  $5.1\times$ – $5.6\times$  faster when modifications were searched.

### 3.2 SimTandem

Numbers of peptides identified by SimTandem (i.e., by the precursor mass filter with  $d_A$ ,  $d_{HP}$  or  $d_{HP}^{match}$ ) and search times are shown in Tab. 1. When  $m = 5$ , we used  $\eta = 2$ .  $d_{HP}^{match}$  identified more peptide sequences than  $d_{HP}$  in all cases. The number of identified peptides was significantly smaller when  $d_A$  was used and it drastically worsened with lower q-value.  $d_{HP}^{match}$  identified more peptides than X!Tandem in all cases. OMSSA identified more peptides than  $d_{HP}^{match}$  in three cases when q-value = 0.001.

The overlaps of identified peptides among OMSSA, X!Tandem and SimTandem ( $d_{HP}^{match}$ ) for q-value = 0.01 are summarized by Venn diagrams in Fig. 2. We can observe that significant numbers of peptides were identified by all three engines (from 6,252 to 9,500 peptides). The numbers of peptides identified only by SimTandem are bigger than the numbers of peptides identified only by OMSSA in all cases and the numbers of peptides identified only by X!Tandem in five cases (except *Hum48* when  $m = 0$ ). The numbers of peptides identified only by X!Tandem are bigger than the numbers of peptides identified only by OMSSA in all cases. The numbers of peptides identified only by SimTandem and OMSSA are bigger than the numbers of peptides identified only by X!Tandem and OMSSA in all cases and bigger than the



numbers of peptides identified only by SimTandem and X!Tandem in five cases (except *Hum49* when  $m = 5$ ). The numbers of peptides identified only by SimTandem and X!Tandem are bigger than the numbers of peptides identified only by OMSSA and X!Tandem.

SimTandem ( $d_{HP}^{match}$ ) was  $4.3\times$  faster than OMSSA on *E. coli* query set when modifications were not searched and  $3\times$  faster when modifications were searched. On human query sets, it was  $8\times$  faster than OMSSA when modifications were not searched and  $2.2\times$  faster when modifications were searched. SimTandem was  $2.2\times$  faster than X!Tandem on *E. coli* query set when modifications were not searched and  $1.3\times$  faster when modifications were searched. It was also  $1.1\times$ - $1.4\times$  faster than X!Tandem when modifications were not searched in human query sets. When modifications were searched in human query sets, X!Tandem was  $2.3\times$ - $2.6\times$  faster than SimTandem.

### 3.3 Index of the Root

**Table 2: Numbers of peptides identified by  $d_{HP}$  and  $d_{HP}^{match}$  for different index  $n$  of the root. The best result in each column is highlighted.**

| $n$      | q-value = 0.001 |              |              |                  |              |              | q-value = 0.01 |              |              |                  |              |              |
|----------|-----------------|--------------|--------------|------------------|--------------|--------------|----------------|--------------|--------------|------------------|--------------|--------------|
|          | $d_{HP}$        |              |              | $d_{HP}^{match}$ |              |              | $d_{HP}$       |              |              | $d_{HP}^{match}$ |              |              |
|          | <i>E.coli</i>   | <i>Hum48</i> | <i>Hum49</i> | <i>E.coli</i>    | <i>Hum48</i> | <i>Hum49</i> | <i>E.coli</i>  | <i>Hum48</i> | <i>Hum49</i> | <i>E.coli</i>    | <i>Hum48</i> | <i>Hum49</i> |
| 1        | 208             | 23           | 56           | 2,320            | 200          | 1,009        | 240            | 23           | 56           | 6,161            | 1,134        | 1,865        |
| 2        | 1,411           | 154          | 346          | 6,578            | 1,747        | 3,355        | 2,502          | 422          | 504          | 9,959            | 3,528        | 4,613        |
| 5        | 5,173           | 1,173        | 2,662        | 10,020           | 4,383        | 6,168        | 7,468          | 2,646        | 3,570        | 12,378           | 6,571        | 8,220        |
| 10       | 7,554           | 3,691        | 5,230        | 10,478           | 5,425        | 7,169        | 10,555         | 5,132        | 6,345        | 12,602           | 7,314        | 9,060        |
| 20       | 9,255           | 4,907        | 6,685        | 10,615           | 5,779        | 7,153        | 11,926         | 6,778        | 8,192        | 12,677           | 7,537        | 9,241        |
| 30       | 9,551           | 5,333        | 7,003        | <b>10,756</b>    | 6,084        | 7,168        | 12,228         | 7,172        | 8,711        | 12,676           | 7,589        | <b>9,322</b> |
| 50       | 10,009          | 5,598        | 7,336        | 10,682           | 6,128        | 7,192        | 12,396         | 7,393        | 8,994        | 12,685           | 7,611        | 9,305        |
| 100      | <b>10,173</b>   | <b>5,775</b> | <b>7,357</b> | 10,705           | <b>6,167</b> | 7,053        | <b>12,418</b>  | <b>7,507</b> | 9,117        | <b>12,705</b>    | <b>7,640</b> | 9,294        |
| $\infty$ | 10,120          | 5,678        | 7,153        | 10,046           | 5,757        | <b>7,223</b> | 12,341         | 7,197        | <b>9,173</b> | 12,211           | 7,273        | 9,250        |

We also tested the impact of the index  $n$  of the root in  $d_{HP}$  and  $d_{HP}^{match}$  on the number of identified peptides. Variable modifications were not searched. The results are shown in Tab. 2. We can observe that the number of identified peptides is bigger with bigger  $n$ . However, when  $n$  is too big, the number of identified peptides is smaller. For both q-value = 0.001 and q-value = 0.01, the most peptides were identified in four cases when  $n = 100$ , in one case when  $n = 30$  and in one case when  $n = \infty$ . In practice, the optimal  $n$  depends on the query set and should be determined empirically. Commonly, we use an empirical value  $n = 30$ .

### 3.4 Precursor Mass Filter

Since the number of comparisons of a query spectrum with theoretical spectra is crucial for the efficiency of precursor mass filter, average numbers of comparisons were measured in protein sequence databases Swiss-Prot (v. 06/2013) (human sequences only and all sequences) [36], MSDB (v. 08-Sep-2006) [37] and NCBI RefSeq (v. 55) [38]. The query set *Hum48* was used. Variable and fixed modifications were not searched. Results are shown in Tab. 3. For example, 399 theoretical spectra were compared with a query spectrum when human sequences from Swiss-Prot were used and when  $\lambda = 10$  ppm. When the NCBI database was used, the number of comparisons was 60,638 for the same  $\lambda$ . For  $\lambda = 2$  Da, the number of comparisons was significantly bigger. For example, 15,183 theoretical spectra were compared with a query

**Table 3: Average numbers of comparisons of a query spectrum with theoretical spectra for different protein sequence databases and different precursor mass error tolerances  $\lambda$ . Numbers of protein and peptide sequences in tested protein sequence databases are also proposed (the numbers include also numbers of decoy sequences in the databases).**

| Database              | Number of protein sequences | Number of peptide sequences | $\lambda$ |        |        |         |           |           |
|-----------------------|-----------------------------|-----------------------------|-----------|--------|--------|---------|-----------|-----------|
|                       |                             |                             | 5 ppm     | 10 ppm | 15 ppm | 0.5 Da  | 1 Da      | 2 Da      |
| Swiss-Prot (human)    | 177,640                     | 9,327,789                   | 201       | 399    | 598    | 3,797   | 7,601     | 15,183    |
| Swiss-Prot (complete) | 1,080,522                   | 52,728,460                  | 1,063     | 2,106  | 3,157  | 21,404  | 42,923    | 85,714    |
| MSDB                  | 6,478,158                   | 281,767,270                 | 5,756     | 11,369 | 17,042 | 113,272 | 227,017   | 453,153   |
| NCBI                  | 34,737,538                  | 1,533,987,691               | 30,606    | 60,638 | 91,004 | 612,225 | 1,227,339 | 2,451,235 |

spectrum when human sequences from Swiss-Prot were used and 2,451,235 comparisons were made when the NCBI database was used. Since the organism is usually known for a query set of spectra (e.g., *E. coli* or human) and the precision of modern instruments increases, the number of spectra compared with a query spectrum is small and thus the precursor filter is an efficient indexing technique for high-accuracy data.

### 3.5 Precursor Mass Filter and Variable Modifications

**Table 4: Numbers of identified peptides, search times and total numbers of comparisons of *Hum48* with spectra generated from human protein sequences from Swiss-Prot for increasing number of searched variable modifications  $m \in \langle 1, 5 \rangle$  and for increasing maximum number of variable modifications in a peptide  $\eta \in \langle 1, 5 \rangle$ .**

| $m$ | Variable modifications searched   |                                | Max. number of variable modifications in a peptide $\eta$ |        |        |        |        |        |
|-----|---|--------------------------------|---|--------|--------|--------|--------|--------|
|     |   |                                | 1   | 2      | 3      | 4      | 5      |        |
| 1   | oxidation of methionine   | q-value                        | 0.001   | 7,085  | 7,108  | 7,109  | 7,109  | 7,109  |
|     |   |                                | 0.01  | 8,669  | 8,685  | 8,680  | 8,680  | 8,680  |
|     |   |                                | 0.05  | 10,304 | 10,345 | 10,342 | 10,341 | 10,341 |
|     |   | Search time [min:sec]          | 4:29  | 5:07   | 5:24   | 5:59   | 6:03   |        |
|     |   | Num. of comparisons [millions] | 14.18   | 14.81  | 14.89  | 14.90  | 14.90  |        |
| 2   | oxidation of methionine, deamidation of asparagine  | q-value                        | 0.001   | 7,453  | 7,210  | 7,214  | 7,214  | 7,214  |
|     |   |                                | 0.01  | 9,243  | 9,263  | 9,244  | 9,245  | 9,245  |
|     |   |                                | 0.05  | 11,022 | 11,074 | 11,064 | 11,064 | 11,064 |
|     |   | Search time [min:sec]          | 7:02  | 9:01   | 9:32   | 9:59   | 11:27  |        |
|     |   | Num. of comparisons [millions] | 20.32   | 25.11  | 26.88  | 27.44  | 27.63  |        |
| 3   | oxidation of methionine, deamidation of asparagine, acetylation of any N-term   | q-value                        | 0.001   | 7,578  | 7,556  | 7,556  | 7,558  | 7,558  |
|     |   |                                | 0.01  | 9,720  | 9,771  | 9,727  | 9,731  | 9,731  |
|     |   |                                | 0.05  | 11,549 | 11,599 | 11,575 | 11,565 | 11,562 |
|     |   | Search time [min:sec]          | 8:17  | 13:06  | 16:53  | 18:05  | 20:14  |        |
|     |   | Num. of comparisons [millions] | 31.36   | 44.88  | 50.57  | 52.45  | 53.05  |        |
| 4   | oxidation of methionine, deamidation of asparagine, acetylation of any N-term, pyro-glu from glutamine                              | q-value                        | 0.001   | 7,853  | 7,832  | 7,831  | 7,833  | 7,833  |
|     |   |                                | 0.01  | 10,140 | 10,242 | 10,172 | 10,176 | 10,176 |
|     |   |                                | 0.05  | 12,105 | 12,206 | 12,172 | 12,163 | 12,158 |
|     |   | Search time [min:sec]          | 8:42  | 13:40  | 18:46  | 20:40  | 22:41  |        |
|     |   | Num. of comparisons [millions] | 32.03   | 46.07  | 51.97  | 53.93  | 54.55  |        |
| 5   | oxidation of methionine, deamidation of asparagine, acetylation of any N-term, pyro-glu from glutamine, pyro-glu from glutamic acid | q-value                        | 0.001   | 7,874  | 7,855  | 7,868  | 7,870  | 7,870  |
|     |   |                                | 0.01  | 10,186 | 10,268 | 10,209 | 10,213 | 10,213 |
|     |   |                                | 0.05  | 12,155 | 12,261 | 12,220 | 12,212 | 12,212 |
|     |   | Search time [min:sec]          | 9:33  | 13:49  | 19:26  | 21:39  | 26:37  |        |
|     |   | Num. of comparisons [millions] | 33.08   | 47.95  | 54.22  | 56.29  | 56.94  |        |

We also tested the effectiveness and efficiency of SimTandem ( $d_{HP}^{match}$ ) for increasing number of searched variable modifications  $m$  and for increasing maximum number of variable modifications in a peptide  $\eta$ . The results are presented in Tab. 4. We used the query set *Hum48* and the database of human protein sequences from Swiss-Prot. When variable modifications were

not searched, the search time was 3:34 [min:sec] and the total number of comparisons of all spectra from *Hum48* against theoretical spectra was 10.58 millions of comparisons.

The search time quickly increases with bigger  $m$  and  $\eta$ . The reason is that theoretical spectra are generated for each combination of variable modifications. However, the total number of comparisons increases slowly because many theoretical spectra do not have to be compared with query spectra. Even though peptides corresponding to theoretical spectra have their precursor masses within  $\lambda$ , they do not contain amino acids affected by the searched variable modifications and thus they are not compared with query spectra (Sec. 2.3). However, the testing, whether peptides contain desired amino acids or not, causes overhead costs which increase the search time. We can reduce the search time by using  $\eta \leq 2$  or  $\eta \leq 3$ , because the number of identified peptides does not increase significantly for bigger  $\eta$ .

For q-value = 0.001 and  $m \in \langle 2, 5 \rangle$ , the number of identified peptides is smaller for  $\eta = 2$  than for  $\eta = 1$ . The same effect can be observed in all cases for q-value = 0.01 and q-value = 0.05 when  $\eta = 2$  is changed to  $\eta = 3$ . The reason is that the spectra with variable modifications impact the distribution of target and decoy PSMs and thus they negatively impact false discovery rates and q-values [39] [40].

### 3.6 FDRs of Spectra with Variable Modifications

**Table 5: Numbers of PSMs having variable modifications and search times [min:sec] in two cases – when variable modifications are searched separately in five search runs ( $m = 1$ ) and when variable modifications are searched together in a search run ( $m = 5$ ).**

| Variable modifications searched   | <i>E.coli</i> |      |       |      | <i>Hum48</i> |       |       |       | <i>Hum49</i> |       |       |       |
|---|---------------|------|-------|------|--------------|-------|-------|-------|--------------|-------|-------|-------|
|   | q-value       |      |       | Time | q-value      |       |       | Time  | q-value      |       |       | Time  |
|   | 0.05          | 0.01 | 0.001 |      | 0.05         | 0.01  | 0.001 |       | 0.05         | 0.01  | 0.001 |       |
| oxidation of methionine   | 823           | 582  | 421   | 0:44 | 1,327        | 1,114 | 914   | 4:29  | 1,684        | 1,454 | 1,185 | 4:37  |
| deamidation of asparagine   | 394           | 177  | 116   | 0:44 | 911          | 642   | 441   | 5:09  | 659          | 463   | 310   | 5:02  |
| acetylation of any N-term   | 251           | 56   | 12    | 0:47 | 774          | 512   | 360   | 6:17  | 346          | 144   | 98    | 6:20  |
| pyro-glu from glutamine   | 139           | 110  | 92    | 0:42 | 502          | 428   | 284   | 4:02  | 195          | 169   | 134   | 4:11  |
| pyro-glu from glutamic acid   | 41            | 12   | 4     | 0:43 | 83           | 50    | 27    | 4:30  | 101          | 67    | 31    | 4:32  |
| Total   | 1,648         | 937  | 645   | 3:40 | 3,597        | 2,746 | 2,026 | 24:27 | 2,985        | 2,297 | 1,758 | 24:42 |
| oxidation of methionine,<br>deamidation of asparagine,<br>acetylation of any N-term,<br>pyro-glu from glutamine,<br>pyro-glu from glutamic acid | 1,207         | 820  | 558   | 0:59 | 3,469        | 2,706 | 1,985 | 9:33  | 2,742        | 2,211 | 1,598 | 9:39  |

Since many searched variable modifications may impact the q-values, we have compared the numbers of PSMs having variable modifications in two cases. First, we searched  $m = 5$  modifications together in a search run of the peptide identification engine. Second, we run the engine for each modification separately (i.e., we performed five searches when  $m = 1$ ). We used  $d_{HP}^{match}$  and  $\eta = 1$ . The results are summarized in Tab. 5. The total number of identified PSMs from independent search runs is bigger than the number of PSMs identified in the search run where all the modifications are searched together. Even though the searching for each modification separately is time-consuming ( $2.6 \times - 3.7 \times$  slower) because the search engine must be used many times, the approach might be interesting for practical usage because of bigger number of identified peptide sequences. The advantage of this approach has been also emphasized in [39].

## 4 Conclusion

We have proposed a method for identification of peptides from tandem mass spectra based on the similarity search in databases of theoretical spectra generated from databases of known protein sequences. Our method employs a modification of parameterized Hausdorff distance which outperforms the original distance and the angle distance in the number of identified peptides. Moreover, it outperforms state-of-the-art peptide identification tools OMSSA and X!Tandem. When the precursor mass filter is utilized as a database indexing technique, our method is faster than OMSSA. When variable modifications are not being searched, its search time is similar to the search time of X!Tandem. We have studied the efficiency of precursor mass filter considering different protein sequence databases and different precursor mass error tolerances. Since the accuracy of modern instruments increases in recent years, the precursor mass filter is an efficient database indexing technique for high-accuracy data.

We analyzed the numbers of identified peptides and search times when variable modifications were searched. Generally, when the maximum number of variable modifications in a peptide is set up to 2 or 3, we can reduce the search time even though many variable modifications are being searched. However, the number of identified peptides is smaller with bigger number of searched variable modifications because the computation of false discovery rates is affected by mixing of modified and unmodified spectra together. Thus it seems to be advantageous to run the peptide identification engine for each variable modification or a small set of variable modifications separately. Our method is implemented in the freely available peptide identification engine SimTandem which can be used for a batch analysis in TOPP based on OpenMS. Moreover, our results can be easily reproduced by TOPP.

## Acknowledgements

This work was supported in part by Czech Science Foundation (GAČR) project Nr. 202/11/0968 and by the grant SVV-2013-267312.

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